

## REPORT DOCUMENTATION PAGE

Form Approved  
OMB No. 0704-0188

Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302, and to the Office of Management and Budget, Paperwork Reduction Project (0704-0188), Washington, DC 20503.

1. AGENCY USE ONLY (Leave blank)		2. REPORT DATE May 1990		3. REPORT TYPE AND DATES COVERED Final 15 Mar 1987 - 24 Mar 1990	
4. TITLE AND SUBTITLE Development of Microencapsulation Techniques				5. FUNDING NUMBERS DAAL03-87-K-0044	
6. AUTHOR(S) John D. Baldeschwieler				<b>DTIC</b> <b>SELECTED</b> <b>JUN 20 1990</b> <b>Co B D</b>	
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) California Institute of Technology Pasadena, CA 91125					
9. SPONSORING / MONITORING AGENCY NAME(S) AND ADDRESS(ES) U. S. Army Research Office P. O. Box 12211 Research Triangle Park, NC 27709-2211				8. PERFORMING ORGANIZATION REPORT NUMBER	
10. SPONSORING / MONITORING AGENCY REPORT NUMBER ARO 23967.5-CH				11. SUPPLEMENTARY NOTES The view, opinions and/or findings contained in this report are those of the author(s) and should not be construed as an official Department of the Army position, policy, or decision, unless so designated by other documentation.	
12a. DISTRIBUTION / AVAILABILITY STATEMENT Approved for public release; distribution unlimited.				12b. DISTRIBUTION CODE	
13. ABSTRACT (Maximum 200 words) Surfactant vesicles are small, spherical shell-like structures composed of bilayers of surfactant molecules which can be used to contain an aqueous solution. Since a variety of materials can be encapsulated in such vesicles, they are ideal vehicles for many different applications. The advantages of vesicle formulations involve protection from the environment, sustained release, and targeted delivery of vesicle contents. Such potential for phospholipid vesicles as chemical delivery systems, and possibly many other roles has motivated a significant effort towards (see reverse side) (JG)					
14. SUBJECT TERMS Microencapsulation Techniques, Membrane Stability, Vesicle Fusion, Permeability, Lipid Analogues, Disulfide Polymerization, Cholesterol Derivatives, Chemical Engineering, etc.				15. NUMBER OF PAGES	
17. SECURITY CLASSIFICATION OF REPORT UNCLASSIFIED				16. PRICE CODE	
18. SECURITY CLASSIFICATION OF THIS PAGE UNCLASSIFIED		19. SECURITY CLASSIFICATION OF ABSTRACT UNCLASSIFIED		20. LIMITATION OF ABSTRACT UL	

NSN 7540-01-280-5500

Standard Form 298 (Rev. 2-89)  
Prescribed by ANSI Std. Z39-18  
298-102

90 00 18 249

AD-A223 032

DTIC FILE COPY

improving their properties. The primary objectives for much of the current research in this area have therefore been to obtain enhanced membrane stability (mechanical and chemical) and decreased or controlled vesicle fusion and permeability. Major progress toward these ends has been made by the introduction of polymerizable lipid analogues and to a lesser extent with the formation of polymer coated vesicles.

Studies have been made of the effects of disulfide polymerization and the incorporation of cholesterol derivatives on the structure and properties (permeability, stability, and size) of phospholipid vesicles. Studies have been initiated on the interaction of polymers with liposomes.

DEVELOPMENT OF MICROENCAPSULATION TECHNIQUES

FINAL TECHNICAL REPORT

John D. Baldeschwieler

Professor of Chemistry

14 May 1990

U. S. ARMY RESEARCH OFFICE

For

Contract DAAL03-87-K-0044

California Institute of Technology

Pasadena, California 91125

Reproduction in whole, or in part, is permitted for any purpose of the United States Government.

This document has been approved for public release and sale: its distribution is unlimited.

## DEVELOPMENT OF MICROENCAPSULATION TECHNIQUES

Surfactant vesicles are small, spherical shell-like structures composed of bilayers of surfactant molecules which can be used to contain an aqueous solution. Since a variety of materials can be encapsulated in such vesicles, they are ideal vehicles for many different applications. The advantages of vesicle formulations involve protection from the environment, sustained release, and targeted delivery of vesicle contents. Such potential for phospholipid vesicles as chemical delivery systems, and possibly many other roles has motivated a significant effort towards improving their properties. The primary objectives for much of the current research in this area have therefore been to obtain enhanced membrane stability (mechanical and chemical) and decreased or controlled vesicle fusion and permeability. Major progress toward these ends has been made by the introduction of polymerizeable lipid analogues(1) and to a lesser extent with the formation of polymer coated vesicles(2).

With support of the Army Research Office (Grant No. DAAL03-87-K-0044) we have explored the effects of disulfide polymerization and incorporation of cholesterol derivatives on the structure and properties (permeability, stability, and size) of phospholipid vesicles. We have also initiated studies of the interaction of polymers with liposomes.

The detailed results of these studies have been published or submitted for publication as follows:

1. Handel, Tracy M., "Disulfide Polymerizeable Phosphatidylcholines: Characterization of Membrane Physical Properties and Investigations of *in vivo* Behavior". Ph.D. diss., California Institute of Technology, Pasadena, CA, 1989.
2. Goodrich, Raymond P., "Membrane Bound Carbohydrates: An Approach for Stabilization During Freezing and Drying". Ph.D. Diss., California Institute of Technology, Pasadena, CA. 1990.
3. Goodrich, Raymond P., Handel, Tracy M. BBA 938, 143-154, 1988.
4. Goodrich, Raymond P., Crowe, John H., Crowe, Lois M., Baldeschwieler, John D. Biochem. 1990, submitted. "Alterations in Membrane Surfaces Induced by Attachment of Carbohydrates".

5. Goodrich, Raymond P., John D. Baldeschwieler, BBA 1990, submitted.  
"Protection of Vesicles Against Damage During Freeze Drying by Addition of Membrane Associated Carbohydrate Derivatives".
6. Goodrich, Raymond P., Baldeschwieler, John D., Cryobiology 1990, submitted.  
"The Cryoprotective Action of Synthetic Glycolipids".

I have attached copies of the abstracts of the two theses (nos. 1. and 2.) as well as preprints of the three manuscripts which have recently been submitted for publication.

List of scientific personnel:

Chris DiSimone  
Mitsuko Fujiwara  
Raymond P. Goodrich, Ph.D., 1990  
Tracy M. Handel, Ph.D., 1989  
Wilton Vannier, M.D., Ph.D.



<b>Accession For</b>	
NTIS GRA&I	<input checked="checked" type="checkbox"/>
DTIC TAB	<input type="checkbox"/>
Unannounced	<input type="checkbox"/>
Justification	
By	
Distribution/	
Availability Codes	
Dist	Avail and/or Special
A-1	